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FORM PTO-1390 (Modified) (REV. 07-2004) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE YOM-0111 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/KR2004/000226 February 6, 2004 **February 6, 2003** TITLE OF INVENTION UNIFIED POLARIZING PLATE AND METHOD FOR PREPARING THE SAME APPLICANT(S) FOR DO/EO/US Won-Kook KIM, Sung-Ho CHUN, Sung-Cheol YOON, Tae-Sun LIM, Heon KIM, Jung-Min LEE, Jeong-Su YU, In-Seok HWANG and Beom-Seok KIM Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. 2, This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), 3. (6), (9) and (24) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) 5. is attached hereto (required only if not communicated by the International Bureau). a. 🔲 b. 🛛 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 🗆 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. П are attached hereto (required only if not communicated by the International Bureau). a. \square have been communicated by the International Bureau. b. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. \boxtimes A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. A power of attorney and/or change of address letter. 18. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. \boxtimes EV543401676US Express Mail Label No. 23. Other items or information: Claim for Priority Limited Recognition Under 37 C.F.R. Section 10.9 (b)

DTO9 Rec'd PCT/PTO 0 9 SEP 2004 U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER **YOM-0111** PCT/KR2004/000226 24. The following fees are submitted:. CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$1,080.00 Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 □ 30 \$0.00 months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA **RATE** \$18.00 \$144.00 28 -20 =Total claims 3 0 \$86.00 \$0.00 3 = Independent claims \$0.00 Multiple Dependent Claims (check if applicable). TOTAL OF ABOVE CALCULATIONS \$1,224.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$0.00 **SUBTOTAL** \$1,224.00 Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). □ 20 □ 30 \$0.00 TOTAL NATIONAL FEE \$1,224.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). \$0.00 TOTAL FEES ENCLOSED \$1,224.00 Amount to be: refunded \$ charged \$1,224.00 to cover the above fees is enclosed. \boxtimes A check in the amount of ____ a. to cover the above fees. Please charge my Deposit Account No. in the amount of b. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment \boxtimes c. to Deposit Account No. 06-1130 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Soonja Bae SIGNATURE Reg. No. (Please See Attached) Cantor Colburn LLP Soonja Bae 55 Griffin Road South NAME Bloomfield, CT 06002 PTO Customer No. 23413 PLEASE SEE ATTACHED

Page 2 of 2

REGISTRATION NUMBER

September 9, 2004

DATE

Telephone: (860) 286-2929

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Docket No. LING BY "EXPRESS MAIL" (37 CFR 1.10) **CERTIFICATE OF** § Applicant(s): Won-Kook KIM, et al. Customer No. Group Art Unit Application No. Filing Date Examiner **NYA** 23413 **NYA NYA** 9-9-2004 UNIFIED POLARIZING PLATE AND METHOD FOR PREPARING THE SAME Invention: I hereby certify that the following correspondence: Utility Patent Application, PCT Application, Preliminary Amendment, PCT International Search Report, Claim for Priority, Limited Recognition Under 37 C.F.R. Section 10.9 (b), Check-Filing Fee-\$1,224.00 and Postcard (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 9, 2004 (Date) **Tammie Lanthier** (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV543401676US ("Express Mail" Mailing Label Number)

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